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#### Einschreiben

US Bankruptcy Court Southern District of New York Re: Lehman Brothers Holdigngs, Inc One Bowling Green New York, NY 10004-1408 USA



Zürich, 19. September 2017

Transfer of Claim "Lehman Brothers Holdings Inc."

Dear Sir or Madam

Please find enclosed the originally signed "Notice Pursuant to Bankruptcy Rule 3001" and "Evidence of Transfer of Claim" released by the Transferor on September 13, 2017.

We kindly ask you to process the partial transfer of claim:

ISIN:

CH0039308652

Title:

15 % Barrier Reverse Convertible Lehman Brothers 2008-4.6.09 (EXP.28.5.09)

on a Basket of Shs -In Default-

Nominal / Units:

CHF 100,000

Transferor:

Hedwig Vergnani-Brunner

Transferee:

Zürcher Kantonalbank

Initial Proof of Claim Number:

59233

Please confirm us the receipt. We thank you in advance.

Yours sincerely

Zürcher Kantonalbank

i.V. & GMerso Susanne Gallati

Eljanne Stauffiger

### Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK



In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555 (JMP)</u> Court ID (Court Use Only)

# NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: Zürcher Kantonalbank	Name of Transferor: Hedwig Vergnani-Brunner		
Notices to Transferee should be sent to:  Zürcher Kantonalbank  Corporate Actions  Attn: LOAA2  P.O. Box  CH-8010 Zurich  Tel +41 44 292 98 92  Fax +41 44 292 86 64	Court Record Address of the Transferor: (Court use only)		
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor Hedwig Vergnani-Brunner c/o Dr. Tiziana Vergnani Weiherstrasse 20 b 8280 Kreuzlingen (Switzerland)		
Amount of Claim Being Transferred:  CHF 100,000.00 (face amount of securities)  Court Claim No. (if known): 59233			
Date Claim Filed: October 30, 2009			

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief. ELIANNE STAUEFIGER

By:

Zürcher Kantonalbank

Transferee

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Date:

# 2 5 2017

#### **EVIDENCE OF TRANSFER OF CLAIM**

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"): CHF 100,000

This Evidence of Transfer of Claim relates to the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Securities NV 2008-20.03.09	CH0039308652	Lehman Brothers Treasury Bv	Lehman Bros Holding Inc.	CHF 100,000.00

HEDWIG VERGNANI-BRUNNER, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Zürcher Kantonalbank **Corporate Actions** P.O. Box CH-8010 Zürich

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 13, 2017.

Hedwig Vergnani-Brunner

Transferor

Name: Dr. Tiziana Vergnani